

### Compliance Management - Schedule PROF-4

Effective Date: December 18, 2025 | Supersedes prior versions.

#### Service Summary

Service Provider shall provide Compliance Management services (“Compliance Management”) to assist Client in achieving and maintaining compliance with industry frameworks such as SOC 2, HIPAA, ISO 27001, CMMC, or NIST 800-171. Services may involve the use of industry-standard compliance automation platforms (e.g., Drata), as applicable based on the selected Service Option.

Compliance Management may be provided as either Platform-Only (Compliance Manager) or Full Facilitation, as defined below. Service Provider provides configuration, coordination, and advisory support but does not guarantee that Client will achieve certification or pass any audit.

#### Scope of Services

- Provision of access to compliance automation platform(s) (e.g., Drata), as applicable based on the selected Service Option, under Service Provider’s reseller agreement, subject to separate annual license fees.
- Configuration of the compliance platform for Client’s environment, including integrations, control mapping, and evidence collection setup.
- Advisory support for compliance roadmap planning, gap analysis, and remediation recommendations.
- Coordination with third-party auditors (e.g., AssuranceLab) to align evidence collection and audit timelines.
- Periodic review meetings with Client stakeholders to track progress toward compliance milestones.

#### Exclusions

- Compliance platform licensing fees (billed separately on an annual basis).
- Third-party auditor fees (contracted directly between Client and auditor).
- Any guarantee of certification, audit success, or compliance status.
- Legal, regulatory, or financial advisory services.
- Remediation of identified gaps (scoped and billed separately as projects).

#### Client Responsibilities

- Maintain an active subscription to the required compliance platform(s).
- Provide timely access to documentation, policies, and systems for evidence collection.
- Engage and contract directly with an accredited auditor where certification is sought.
- Implement remediation recommendations; Service Provider may assist through separate project engagements.

#### General Terms

- Compliance Management is an advisory and facilitation service; ultimate responsibility for achieving, maintaining, and demonstrating compliance rests solely with Client.
- Fees for this Schedule are billed in accordance with the Payment & Services Schedule of the Master Service Agreement. Required platform licensing (e.g., Drata) is billed separately on an annual basis.
- Service Provider may recommend third-party platforms or auditors; Client is responsible for entering into any required agreements directly with such third parties.
- Service Provider disclaims liability for fines, penalties, or damages resulting from compliance failures.

#### Service Options

- Compliance Platform Only: Service Provider provisions access to the compliance automation platform (e.g., Drata) under reseller terms. Client manages all configuration, evidence collection, and auditor engagement independently.
- Compliance Platform + Full Facilitation: Service Provider provides full facilitation services, including access to the compliance automation platform (e.g., Drata), configuration, control mapping, evidence preparation, and ongoing platform oversight. Remediation efforts remain separately scoped projects.